

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES, LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

In re:

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation  
of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

ESTATE OF ARMAND L. GREENHALL,  
PENG YAN, as Personal Representative of the  
Estate of Armand L. Greenhall, and DEIDRE  
SWEENEY, as Personal Representative of the  
Estate of Armand L. Greenhall,

Defendants.

Adv. Pro. No. 10-05048 (SMB)

**ORDER PURSUANT TO RULES 15 AND 21 OF THE FEDERAL  
RULES OF CIVIL PROCEDURE, AS INCORPORATED BY RULES  
7015 AND 7021 OF THE FEDERAL RULES OF BANKRUPTCY  
PROCEDURE, GRANTING THE TRUSTEE LEAVE TO FILE A  
SECOND AMENDED COMPLAINT AND FOR RELATED RELIEF**

This cause having come before the Court on March 28, 2018 on the motion (“Motion”) of  
Irving H. Picard (“Trustee”), as trustee for the liquidation of the business of Bernard L. Madoff  
Investment Securities, LLC and the substantively consolidated estate of Bernard L. Madoff under

the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, *et seq.*, by and through his counsel, Baker & Hostetler LLP, seeking entry of an order, pursuant to Rules 15 and 21 of the Federal Rules of Civil Procedure, as incorporated in this proceeding by Rules 7015 and 7021 of the Federal Rules of Bankruptcy Procedure, granting the Trustee's request for leave to file a Second Amended Complaint and for Related Relief; and the Court having considered the Memorandum of Law in Support of the Trustee's Motion for Entry of an Order Pursuant to Rules 15 and 21 of the Federal Rules of Civil Procedure, as Incorporated by Rules 7015 and 7021 of the Federal Rules of Bankruptcy Procedure, Granting the Trustee Leave to File a Second Amended Complaint and for Related Relief; the Opposition to Motion for Leave to File a Second Amended Complaint submitted by defendant Peng Yan, and the Trustee's Reply thereto; and due notice of the Motion having been given, and it appearing that no other or further notice need be given, and no other objections being made; and the Court having considered all of the arguments and determined that the legal and factual bases set forth in the Motion establish just cause for the relief granted herein; and upon the proceedings before the Court and after due deliberation, it is hereby

**ORDERED THAT:**

1. The Motion is GRANTED.
2. The Trustee is granted leave to file his Second Amended Complaint.
3. The caption for this adversary proceeding is amended and shall appear as indicated

in Exhibit 1 to this order.

**Dated: March 30, 2018**  
**New York, New York**

/s/ STUART M. BERNSTEIN

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**HONORABLE STUART M. BERNSTEIN**  
**UNITED STATES BANKRUPTCY JUDGE**

**Exhibit 1**

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SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

ESTATE OF ARMAND L. GREENHALL; PENG  
YAN, individually and as Executor of the Estate of  
Armand L. Greenhall; and DEIDRE SWEENEY, as  
Executor of the Estate of Armand L. Greenhall,  
Defendants.

No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-05048 (SMB)